



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER MARK CHLING/RECEIPT DATE

FIRST ALMET ATTICANT. THE PROPERTY DOCKET NO.TITLE

09/421,575

10/20/99

KASAI

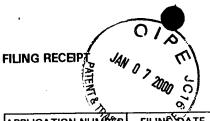
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0212/1110 SIDLEY & AUSTIN

SIDLEY & AUSTIN 717 NORTH HARWOOD SUITE 3400 DALLAS TX 75201-6507 NOT ASSIGNED

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lication Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant in TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to bandonment. Extensions of time may be obtained by filing a petition accompanied by the extension under the provisions of bandonment. Extensions of time may be obtained by filing a petition accompanied by the extension under the provisions of bandonment. The provisions of the provision	NOTIC	E TO FILE MISSING PARTS OF A	PPLICATION		
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PTO-103X (Rev. 6-99)





UNITED STATE DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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APPLICATION NUMBER OF LINE DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/421,575 10/20/99	2872	\$760.00	15162/00910	8	18	2

SIDLEY & AUSTIN 717 NORTH HARWOOD SUITE 3400 DALLAS TX 75201-6507

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

ICHIRO KASAI, OSAKA-SHI, JAPAN.

FOREIGN APPLICATIONS-

JAPAN

10-297991

10/20/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/09/99
TITLE
OPTICAL APPARATUS AND VIEWING OPTICAL SYSTEM THEREOF WHICH IS CAPABLE
OF DISPLAYING INFORMATION

PRELIMINARY CLASS: 359

COPY

DATA ENTRY BY: COUPLIN, JACKIE

TEAM: 01 DATE: 11/09/99

(See reverse for new important information)

Attorney Docker No. 15162/00910

SECONDA



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: Ichiro KASAI

For: OPTICAL APPARATUS AND VIEWING

OPTICAL SYSTEM THEREOF WHICH IS CAPABLE OF DISPLAYING INFORMATION

U.S. Serial No.:

09/421,575

Filed:

October 20, 1999

Group Art Unit:

2872

Examiner:

Not Yet Assigned

Assistant Commissioner For Patents Attention: Box Missing Parts Washington, D.C. 20231

Derrick Gordon

indicated above and is

DATE OF DEPOSIT: JANUARY 7, 2000

Name of Applicant, Assignee, or Registered

Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. S 1.10 on the dated

addressed to the

EXPRESS MAIL MAILING LABEL NO.: EL195375383US

Representative

Signature

January 7, 2000 Date of Signature

Dear Sir:

COMPLETION OF APPLICATION

A Notification Form PTO-1533 (copy enclosed) was mailed on November 10, 1999, indicating that the declaration filed with the above-identified U.S. patent application was unexecuted.

Serial No. 09/421,575

Applicant submits herewith the declaration executed by Applicant on November 29, 1999. The executed declaration identifies the specification by title, filing date, and the inventor.

Filed concurrently herewith is a Request for Correction of Filing Receipt requesting correction of the Applicant's residence address.

Applicant also submits herewith a check in the amount of \$130.00 for the 37 C.F.R. 1.16(e) surcharge for the late filing of an executed declaration. If the check is not present, is not sufficient, or not acceptable, please charge any fees (other than an issue fee) required during the pendency of this application to Deposit Account #18-1260. Please credit any overpayment to Deposit Account #18-1260.

Respectfully submitted,

Rv

ames W. Williams

Registration No. 20,047 Attorney for Applicant

JWW/fis SIDLEY & AUSTIN 717 North Harwood Suite 3400 Dallas, Texas 75201-6507 (214) 981-3328 (direct) (214) 981-3300 (main) January 7, 2000

Attorney Docket No. 15162/00910

re

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Application of:

Ichiro KASAI

For:

OPTICAL APPARATUS AND VIEWING OPTICAL SYSTEM THEREOF WHICH IS CAPABLE OF DISPLAYING INFORMATION

U.S. Serial No.:

09/421,575

Filed:

October 20, 1999

Group Art Unit:

Assistant Commissioner

Box Missing Parts

Washington, D.C. 20231

For Patents

Attention:

Dear Sir:

2872

Examiner:

Not Yet Assigned

EXPRESS MAIL MAILING LABEL NO.: EL195375383US

DATE OF DEPOSIT: JANUARY 7, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to the Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231.

Derrick Gordon

Name of Applicant, Assignee, or Registered

Representative

Signature

January 7, 2000

Date of Signature

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant hereby requests that the filing receipt data of the above-identified U.S. patent application as listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the filing receipt indicating in red the following changes:

After "KASAI," delete "OSAKA-SHI" and insert --KAWACHINAGANO-SHI--.

Also submitted herewith is a copy of the executed Declaration and Power of Attorney that was mailed on January 7, 2000, in the above-referenced patent application showing the correct residence address for the inventor.

The Declaration, page 4, shows the residential address of Mr. Ichiro KASAI to be "Kawachinagano-Shi, Osaka, Japan". Thus the city of residence is "Kawachinagano-Shi" while the prefecture of the residence is "Osaka".

MPEP 605.02 indicates that the residential address should be "the city and either state or foreign country". Thus, if the Filing Receipt is to be less than that which is recited in the Declaration, it should at least correctly identify the city and country.

Accordingly, it is respectfully requested that the residence address for the inventor concerning the present application be corrected.

Serial No. 09/421,575

It is believed that this request for correction of errors does not require the payment of a fee. However, if it should be determined that a fee (other than the issue fee) is required, please charge any required fee during the pendency of this application to Deposit Account No. 18-1260.

Respectfully submitted,

Βv

James W. Williams

Registration No. 20,047 Attorney for Applicant

JWW/fis SIDLEY & AUSTIN 717 North Harwood Suite 3400 Dallas, Texas 75201-6507 (214) 981-3328 (direct) (214) 981-3300 (main) January 7, 2000